



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**DeWitt, Jr. et al.**

Serial No: **10/675,778**

Filed: **September 30, 2003**

Title: **Method and Apparatus for Counting Data Accesses and Instruction Executions that Exceed a Threshold Value in a Data Processing System**

Group Art Unit: **2183**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

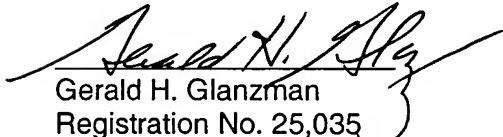
06/06/2006 SSESHE1 00000058 090447 10675778

01 FC:1806 180.00 DA

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

A fee of \$180.00 is believed to be required to file this Supplemental Information Disclosure Statement. Thee Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



Gerald H. Glanzman  
Registration No. 25,035  
Yee & Associates, P.C.  
P.O. Box 802333  
Dallas, Texas 75380  
Telephone: (972) 385-8777



*Ifw*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **DeWitt, Jr. et al.**

Serial No.: **10/675,778**

Filed: **September 30, 2003**

For: **Method and Apparatus for  
Counting Data Accesses and  
Instruction Executions that Exceed a  
Threshold Value in a Data Processing  
System**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

§  
§  
§  
§  
§  
§

Group Art Unit: **2183**

Examiner: **Cody, Dillon J.**

Attorney Docket No.: **AUS920030480US1**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 31 2006.  
By: *Louise Fay*  
Louise Fay

**TRANSMITTAL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449; and
- Our return postcard.

A fee of \$180.00 is believed to be necessary. I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

*Gerald H. Glanzman*  
Gerald H. Glanzman  
Registration No. 25,035

Duke W. Yee  
Registration No. 34,285  
YEE & ASSOCIATES, P.C.  
P.O. Box 802333  
Dallas, Texas 75380  
(972) 385-8777  
ATTORNEYS FOR APPLICANTS



BEST AVAILABLE COPY

PTO/SB/(08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

## Complete if Known

Application Number	10/675,778
Filing Date	09/30/2003
First Named Inventor	DeWitt, Jr. et al.
Examiner Name	Cody, Dillon J.
Art Unit	2183
Attorney Docket No.	AUS920030480US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5805879	09-08-1998	Hervin et al.	
AB	6647301 B2	11-11-2003	Sederlund et al.	
AC	6735666 B1	05-11-2004	Koning	
AD	2004/0153612 A1	08-05-2004	Mutz et al.	
AE	2005/0091456 A1	04-28-2005	Huck	
AF	2005/0108483 A1	05-19-2005	Bungo	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.